



EXECUTIVE FORUM '18

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Richard Lampen
Ladenburg Thalmann
President and Chief Executive Officer

Mr. Lampen has served since September 2006 as the President and Chief Executive Officer of Ladenburg Thalmann Financial Services Inc. (NYSE American: LTS), headquartered in Miami, Florida. The firm is engaged in independent brokerage and advisory services, investment banking, equity research, institutional sales and trading, asset management services and life insurance brokerage through its principal subsidiaries, Ladenburg Thalmann & Co. Inc., Highland Capital Brokerage, Inc., Investacorp, Inc., Triad Advisors, Inc., Securities America, Inc., KMS Financial Services, Inc. and Securities Service Network, Inc. which together have approximately 4,200 independent financial advisors and over \$150 billion in client assets.

Mr. Lampen has also served since July 1996 as the Executive Vice President of Vector Group Ltd. (NYSE: VGR), a New York Stock Exchange listed holding company. Vector Group is engaged principally in the tobacco business through its Liggett Group LLC subsidiary and in the real estate and investment business through its New Valley LLC subsidiary. New Valley owns 70% of Douglas Elliman Realty, LLC, which operates the largest residential brokerage company in the New York metropolitan area. Mr. Lampen has served since October 2008 as President and Chief Executive Officer of Castle Brands Inc. (NYSE American: ROX), a developer and marketer of premium branded spirits.

Mr. Lampen was a partner from 1992 to 1995 in Steel Hector & Davis, a law firm based in Miami, Florida, where he served as co-chairman of the firm's Corporate Department. Previously, Mr. Lampen spent six years at Salomon Brothers Inc., a leading investment banking firm, most recently as a Managing Director and a senior member of the firm's Leveraged Finance Group. During a portion of his career with Salomon Brothers, Mr. Lampen was based in its Tokyo office, where he had primary responsibility for the firm's mergers and acquisitions efforts in Asia.

Mr. Lampen serves as a director of Ladenburg Thalmann Financial Services Inc., Castle Brands Inc. and Douglas Elliman Realty, LLC. He has served as a director of a number of other companies, including New Valley Corporation (NASDAQ: NVAL), U.S. Can Corporation (NYSE: USC), Spec's Music Inc. (NASDAQ: SPEC) and The International Bank of Miami, N.A., as well as a court-appointed independent director of Trump Plaza Funding, Inc.

Mr. Lampen currently serves as the immediate past Chair of the Board of Directors of the Financial Services Institute, as a member of the Executive Committee of the Frost Science



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Museum in Miami, and as a member of the National Board of the American Jewish Committee. Mr. Lampen is the past Chair of the Board of Trustees of Ransom Everglades School and the past Chair of the Board of Directors of the Miami Children's Museum.

Mr. Lampen received a B.A. degree from Johns Hopkins University in 1975 and a J.D. degree from Columbia Law School in 1978, where he was a Harlan Fiske Stone Scholar. He is a member of the Florida Bar and has served as Chairman of its Securities Law Committee.

Mr. Lampen, who was born in New Brunswick, N.J., in 1953, resides in Miami, Florida, with his wife, Susan. They are the parents of two daughters, Dr. Katharine Lampen-Sachar, a radiologist at the Baptist Hospital System in South Florida, and Caroline Miller, who works at the New York Public Health Department; and the grandparents of three girls, Sophia, Isabelle and Olive.