

**Mark Albers**  
Albers & Associates Consulting  
*Founder*

Mark founded Albers & Associates Consulting, LLC (AAC) in 2012 after a career at Morgan Stanley and Merrill Lynch where he served in roles as a Financial Advisor, Branch Manager, Complex Director, and in Regional Management.

Albers & Associates Consulting provides strategic consulting to Registered Investment Advisors, Independent Financial Advisor firms, Broker-Dealers, and Custodians.

Albers & Associates Consulting also provides advice and guidance to Financial Advisors at Merrill Lynch, Morgan Stanley, and UBS who are considering independence. Mark recognized that most Financial Advisors who are considering leaving their firm have competitor managers and traditional recruiters trying to “sell them” on why some other firm was a better option, usually without knowing anything about the Financial Advisor’s current situation or the problems, constraints, and irritations that they face, or the future goals that the Advisor may have for their business. AAC was created to be in the Advisor’s corner and to provide them with objective advice and to guide them through the process of joining or establishing their own firm.

Mark has a degree in Business Administration from the Royal Military College of Canada (St. Jean). Prior to working in the securities industry, Mark served as an infantry officer in the Canadian Army from 1980 to 1994 and was awarded the Canadian Decoration in 1992. He has held the FINRA series 3, 4, 7, 8, 31, 63, and 65, licenses and California life insurance license, and currently holds the Chartered Retirement Planning Counselor designation from the College for Financial Planning and is a member of the Investment Management Consultants Association (IMCA). He is also a graduate of the Morgan Stanley Senior Branch Manager Program at the Wharton School, University of Pennsylvania.

Mark was born and raised in Montreal, Canada, and is fluent in French. He and his wife Karen currently live in Redondo Beach, California and have four children: Shane (29), Zack (28), PJ (25), and Kristine (20). Mark and Karen have six dogs, including five Alaskan Klee Kai, commonly called “Mini-Huskies”. Mark is a CrossFit athlete, holds an expert-rating Skydiving license and is a former medalist at United States Parachute Association and other skydiving competitions, and enjoys playing golf.