



EXECUTIVE FORUM '18

DEBATE. INCUBATE. ACCELERATE.

David Canter
Fidelity Investments
EVP, Head of the RIA Segment

David Canter is executive vice president and head of the registered investment advisor (RIA) segment for Fidelity Clearing & Custody Solutions. Fidelity Clearing & Custody Solutions, a division of Fidelity Investments, offers a comprehensive clearing and custody platform, trading capabilities and business process and operations consulting to banks, broker-dealers, registered investment advisors, family offices, professional asset managers, strategic acquirers and retirement advisors and recordkeepers. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing and other financial products and services to more than 26 million individuals, institutions and financial intermediaries.

Prior to his current role, Mr. Canter served as executive vice president of Practice Management and Consulting for Fidelity Clearing & Custody Solutions. He assumed this position in August 2010 and was responsible for leading the development of a comprehensive Practice Management program structured around helping advisors accelerate growth, streamline their operations and manage risk.

Previously with Fidelity, Mr. Canter served as executive vice president and chief operating officer for Fidelity Institutional Wealth Services (IWS) beginning in September 2009. In this role, he was responsible for the oversight, efficiency and effectiveness of client-related operational processes. He was also the business lead coordinating legal, risk and compliance activities.

Prior to joining Fidelity in 2009, Mr. Canter managed certain operational functions and vendor relationships and served as chief legal and compliance officer for Post Advisory Group, an institutional investment advisor in the high yield realm. During an eight-year tenure with Charles Schwab & Co. beginning in 2000, Mr. Canter held various management positions of increasing responsibility within the firm's RIA business, including a corporate counsel role; vice president, Schwab Institutional Trading & Operations (SITO); and head counsel to the Schwab Institutional business. Previously, he held positions with the Quellos Group, an investment advisor focused on the fund-of-funds business, and the New York Stock Exchange Division of Enforcement.

Mr. Canter received his Bachelor of Arts degree in political science from the University of Wisconsin in 1990 and his *juris doctorate* from the University of Baltimore School of Law in 1993. He currently holds his FINRA Series 24 license and is a member of the State Bar of California.