



EXECUTIVE FORUM '18

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Carolyn Clancy
Fidelity Investments
EVP, Head of the Broker-Dealer Segment

Carolyn Clancy is executive vice president, head of the broker-dealer segment for Fidelity Clearing & Custody Solutions. Fidelity Clearing & Custody Solutions, a division of Fidelity Investments, offers a comprehensive clearing and custody platform, trading capabilities and business process and operations consulting to banks, broker-dealers, registered investment advisors, family offices, professional asset managers, strategic acquirers and retirement advisors and recordkeepers. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing and other financial products and services to more than 26 million individuals, institutions and financial intermediaries.

Clancy assumed her current position in May 2017 and is responsible for ensuring the satisfaction of Fidelity Clearing & Custody Solutions' diverse broker-dealer client base, and helping them meet their business goals.

For six years prior to her role with Fidelity Clearing & Custody Solutions, Ms. Clancy served as head of FundsNetwork®, overseeing relationship management, product management and analytics, marketing programs, and servicing for all third-party investment firms and products at Fidelity. FundsNetwork® enables the industry's investment management firms to offer their products to clients across all of Fidelity's distribution channels (retirement, registered investment advisors, retail/direct, broker/dealers, banks, family offices, and charitable). Under Ms. Clancy's leadership, assets on the FundsNetwork® platform grew by nearly 60 percent, representing approximately 30 percent of Fidelity's total assets under administration.

During her 18-year career at Fidelity, Ms. Clancy has served in various executive roles, including Fidelity's Personal Investing business leading retirement/rollover products, college 529 plans, guidance tools, and customer strategy and analytics teams. She also led Fidelity's lifetime engagement strategies in conjunction with retirement plan participants of Fidelity's Workplace Investing business. Prior to that, Ms. Clancy spent five years in leadership roles in product management/development and institutional marketing in Fidelity Workplace Investing's tax-exempt/403(b) business.

Prior to joining Fidelity Investments, Ms. Clancy held various sales, marketing, and business development positions for technology and manufacturing organizations, including A.W. Chesterton Company, Barry Controls, Loral Infrared and Imaging Systems/Honeywell, and Sanders Associates/Lockheed Company.



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Ms. Clancy received her Bachelor of Arts degree in business management from Assumption College in 1982, and her MBA from Babson College in 1989. She holds her FINRA Series 7 and 24 registrations. She is on the Board of Trustees at Assumption College, The Board of Directors of the Big Sister Association of Greater Boston, and is actively involved in several philanthropic organizations.